

**CALIFORNIA TRANSPORTATION COMMISSION**  
**Approval of Traffic Congestion Relief Program**  
**Project Application Amendment**

**CALIFORNIA**  
**TRANSPORTATION COMMISSION**

**RESOLUTION TAA-01-10**  
**Amending Resolution TA-01-09**

- 1.1 WHEREAS the Traffic Congestion Relief Act of 2000 (herein after referred to as "statute"), which was established by Chapters 91 (AB 2928) and 656 (SB 1662) of the Statutes of 2000, establishes the Traffic Congestion Relief Program, providing \$5.39 billion for projects throughout the State of California to reduce traffic congestion, provide for safe and efficient movement of goods, and provide system connectivity; and
- 1.2 WHEREAS in accordance with Government Code Section 14556.11 the California Transportation Commission (Commission) has adopted guidelines, in consultation with the Department of Transportation (Department) and regional agencies, to implement the Traffic Congestion Relief Program (TCRP); and
- 1.3 WHEREAS the statute and guidelines require applicants to specify full and complete project applications, including scope, cost and schedule, financial plans and funding sources; and
- 1.4 WHEREAS on June 6, 2001, the Commission passed Resolution TA-01-09 approving 27 project applications totaling \$815,994,000, including \$54,500,000 for Project #13 – CalTrain Peninsula Corridor; acquire rolling stock, add passing tracks, and construct pedestrian access structure at stations between San Francisco and San Jose; and
- 1.5 WHEREAS the June 2001 application approval for Project #13 included \$53,000,000 for the purchase of rolling stock (including six locomotives at \$14,500,000 and 17 multi-level passenger rail cars at \$38,500,000) and \$1,500,000 for signal equipment; and
- 1.6 WHEREAS the Peninsula Corridor Joint Powers Board (PCJPB) has conducted an extensive review of specific alternatives regarding the acquisition and delivery of rolling stock; and
- 1.7 WHEREAS during the review period, PCJPB discovered that the Seattle based Sound Transit was preparing to take delivery on the same type rail cars proposed by the PCJPB for the CalTrain "*Baby Bullet*"; and
- 1.8 WHEREAS Sound Transit will not be able to put the rail cars funded from federal New Rail Starts money into immediate service until such time as the extension originally proposed for the cars is completed; and
- 1.9 WHEREAS the PCJPB has determined that the delivery of the rail cars for the CalTrain "*Baby Bullet*" could be advanced through the purchase of new passenger cars already in production for Sound Transit; and

- 1.10 WHEREAS the purchase of the Sound Transit rail cars will enable the PCJPB to implement a "demonstration express" service in advance of the official CalTrain "*Baby Bullet*" service; and
- 1.11 WHEREAS in order to execute the agreement with Sound Transit for the purchase of the rail cars already in production, an advanced amount of \$32,386,000, will need to be placed in an escrow account and be available for drawdown no later than January 15, 2002, for progress payments for the Sound Transit vehicles.
- 2.1 NOW THEREFORE BE IT RESOLVED the Commission does hereby approve the following TCRP project application amendment for the Peninsula Corridor Joint Powers Board (PCJPB) as submitted, with subsequent clarifications and revisions:
- Project #13 – CalTrain Peninsula Corridor; acquire rolling stock, add passing tracks, and construct pedestrian access structure at stations between San Francisco and San Jose in San Francisco, San Mateo, and Santa Clara Counties. Amend application to request advance payment of \$32,386,000, revise cash flow plan and show an expedited schedule.
- 2.2 BE IT FURTHER RESOLVED that the Commission approves an Advance of \$32,386,000 to be placed in an escrow account for the purchase of passenger rail cars; and
- 2.3 BE IT FURTHER RESOLVED that CTC Resolution TA-01-09 is hereby amended.